

Box 509/A

10/29/99

Anticipated Classification  
of this Application:  
Class 435 Subclass 007.200

Prior Application:  
Examiner N. Basi  
Group Art Unit 1646

Attorney's  
Docket  
No. 44743-AA-PCT-US/  
JPW/JHE

RECEIVED  
10/29/99  
U.S. PATENT AND  
TRADEMARK OFFICE  
RECEIVABLE ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

October 29, 1999

S I R:

This is a request for filing a X CONTINUATION  
       DIVISIONAL        CONTINUATION-IN-PART application under  
X 37 C.F.R. §1.53(b)        37 C.F.R. §1.53(d), of pending prior application  
Serial No. 08/495,695 filed on January 13, 1997 of  
Jonathan A. Bard, Mary W. Walker, Theresa Brancheck, Richard L. Weinshank for  
Inventor(s)

DNA Encoding A Human Neuropeptide Y/Peptide YY/Pancreatic Polypeptide Receptor (Y4)  
Title of Invention

1. X Enclosed is a copy of the prior application, as originally  
filed and an affidavit or declaration verifying it as a  
true copy.
2.        A verified statement to establish small entity status under  
37 C.F.R. §1.9 and 1.27  
       is enclosed.  
       was filed in the prior application and such status  
is still proper and desired (37 C.F.R. §1.28(a)).
3. X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	16-20	=	0	X	9	18	= \$	\$ -0-
Independent Claims	4-3	=	1	X	39	78	= \$	\$78.00
Multiple Dependent Claims Presented: <u>X</u> Yes <u>      </u> No					130	260	= \$	\$260.00
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.					BASIC FEE		\$ 380	\$760
					TOTAL FEE		\$	\$1,098

filing an application pursuant to this section expressly abandons the  
parent application.

4.   X   The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X   Any additional filing fees required under 37 C.F.R. §1.16.
- X   Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5.   X   Three copies of this sheet are enclosed.
6.   X   A check in the amount of \$ 1,098.00 is enclosed.
7.   X   Cancel claims 1-146.
8.        Amend the specification by inserting before the first line the sentence: --This is a        continuation        division of application Serial No.                     , filed                     .--
9.   X   19 Sheet(s) of        informal   X   formal drawing(s) is/are enclosed.
10.        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11.        Priority of application No.                      filed on                      in                      (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No.                     , filed                     .
12.   X   The prior application is assigned of record to Synaptic Pharmaceutial Corporation.
13.   X   A preliminary amendment is enclosed.
14.   X   The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 26,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Kristina L. Konstas (Reg. No. 37,364); Robert T. Maldonado (Reg. No. 38,232); Mary Anne P. Tanner (Reg. No. 40,197).

- (a)   X   The power appears in the original papers in the prior application.
- (b)   X   Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c)   X   Address all future communications to:  
(May only be completed by applicant,  
or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

15.   X   Also enclosed Express Mail Certificate of Mailing No.

EL 278 887 266

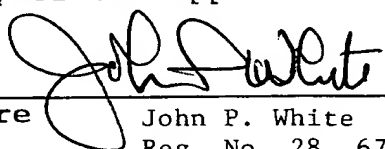
dated October 29, 1999

16.   X   I hereby verify that the attached papers are a true copy of prior application Serial No. 08/495,695 as originally filed on January 13, 1997.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

October 29, 1999  
Date

Signature

  
John P. White  
Reg. No. 28, 678

       INVENTOR(S)

       ASSIGNEE OF COMPLETE INTEREST

  X   ATTORNEY OR AGENT OF RECORD

       FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036